





case, since  $HG = I_K$ , the rank of the matrix  $I_N - GH$  is  $N - K$ . Therefore, only  $N - K$  row vectors of  $I_N - GH$  are linearly independent, and they span a  $(N - K)$ -dimensional subspace. In order to span the original  $N$ -dimensional space, which contains the signal vector  $\mathbf{x}$ , we need all the row vectors of  $H$ . This means that we cannot discard coefficients from the coarse signal  $\mathbf{c}$  for the reconstruction to be feasible. At the same time, we can dispose of at best  $K$  coefficients of  $\mathbf{d}$ , in which case the transmitted LP coefficients have a critical representation.

On the other hand, if the decimation and the interpolation filters are neither biorthogonal nor orthogonal, this condition may not hold. For instance, if the filter coefficients are such that  $I_N - GH$  is a full-rank matrix, we could discard the coarse signal  $\mathbf{c}$  altogether and reconstruct  $\mathbf{x}$  from the detail signal  $\mathbf{d}$  simply by applying  $(I_N - GH)^{-1}$  on  $\mathbf{d}$ . In view of the practical application of scalable compression, here we assume that the coarse signal is fully transmitted and consider discarding coefficients only from the detail signals.

#### 4.1 Frame-theoretic reconstruction

First, let us assume that the LP coefficients are not quantized. Let the number of detail coefficients transmitted be  $R$  where  $R \geq N - K$ . Let the detail signal  $\mathbf{d}$  be partitioned as  $\mathbf{d} \equiv \begin{bmatrix} \mathbf{d}_R \\ \mathbf{d}_E \end{bmatrix}$ , where  $\mathbf{d}_R$  and  $\mathbf{d}_E$  denote the vector of transmitted coefficients and the vector of discarded coefficients, respectively. Considering only the transmitted coefficients, we could express Eqn.7 as

$$\begin{bmatrix} \mathbf{c} \\ \mathbf{d}_R \end{bmatrix} = \begin{bmatrix} H \\ I_{R \times N} - G_R H \end{bmatrix} \mathbf{x} \equiv F_R \mathbf{x}, \quad (11)$$

where  $I_{R \times N}$  and  $G_R$  denote the  $R$  rows of  $I_N$  and  $G$  corresponding to the transmitted coefficients indices. If the rows of  $F_R$  make a subframe, we can reconstruct  $\mathbf{x}$  as follows:

$$\hat{\mathbf{x}}_p = (F_R^t F_R)^{-1} F_R^t \begin{bmatrix} \mathbf{c} \\ \mathbf{d}_R \end{bmatrix}. \quad (12)$$

In the critical representation case, i.e., when  $R = N - K$ ,  $F_R$  is a square matrix and we obtain  $\hat{\mathbf{x}} = F_R^{-1} \begin{bmatrix} \mathbf{c} \\ \mathbf{d}_R \end{bmatrix}$ . Observe that, for the reconstruction to be feasible, the inverse matrix operations in Eqn.12 and above should be valid. Therefore the coefficients to be discarded have to be chosen accordingly.

Let us partition the vector  $\mathbf{x}$  as  $\mathbf{x} \equiv \begin{bmatrix} \mathbf{x}_R \\ \mathbf{x}_E \end{bmatrix}$ , where  $\mathbf{x}_R$  and  $\mathbf{x}_E$  have the same indices as the transmitted coefficients and discarded coefficients respectively (recall that  $\mathbf{d}$  and  $\mathbf{x}$  have the same resolution.). By rearranging the columns of  $F_R$  in the according manner and using simple matrix algebra, Eqn. 12 can be expressed as

$$\hat{\mathbf{x}}_p = \begin{bmatrix} \hat{\mathbf{x}}_R \\ \hat{\mathbf{x}}_E \end{bmatrix} = \begin{bmatrix} G_R & I_R \\ H_E^+(I_K - H_R G_R) & -H_E^+ H_R \end{bmatrix} \begin{bmatrix} \mathbf{c} \\ \mathbf{d}_R \end{bmatrix}, \quad (13)$$

where  $H_R$  and  $H_E$  denote the columns of  $H$  having the same indices as the transmitted coefficients and the discarded coefficients respectively, and  $H_E^+ \equiv (H_E^t H_E)^{-1} H_E^t$ . Note that, for the above equation to be valid,  $H_E$  must have full-column rank. In the critical representation case,  $H_E$  is a  $K \times K$  square matrix and therefore the above expression can be rewritten as

$$\hat{\mathbf{x}}_p = \begin{bmatrix} \hat{\mathbf{x}}_R \\ \hat{\mathbf{x}}_E \end{bmatrix} = \begin{bmatrix} G_R & I_R \\ H_E^{-1}(I_K - H_R G_R) & -H_E^{-1} H_R \end{bmatrix} \begin{bmatrix} \mathbf{c} \\ \mathbf{d}_R \end{bmatrix}. \quad (14)$$

Since there is no quantization error, the reconstruction in Eqn. 13 is perfect and it is identical to  $\hat{\mathbf{x}}_s$  in Eqn. 4 and  $\hat{\mathbf{x}}_f$  in Eqn. 9.

From the above equation, we obtain

$$\hat{\mathbf{x}}_R = G_R \mathbf{c} + \mathbf{d}_R; \quad (15)$$

$$\begin{aligned} \hat{\mathbf{x}}_E &= H_E^{-1} \mathbf{c} - H_E^{-1} H_R (G_R \mathbf{c} + \mathbf{d}_R) \\ &= H_E^{-1} \mathbf{c} - H_E^{-1} H_R \hat{\mathbf{x}}_R. \end{aligned} \quad (16)$$

Observe that reconstruction of  $\mathbf{x}_R$  is done using the usual method whereas the missing samples are calculated by solving the equation  $H_E \mathbf{x}_E + H_R \mathbf{x}_R = \mathbf{c}$ , or equivalently,  $H \mathbf{x} = \mathbf{c}$ .

#### 4.2 Coding-theoretic reconstruction

It is known that frames in finite dimensional spaces are associated with codes in the complex or the real fields [8]. The frame operator  $F$  can be looked upon as the generator matrix of the associated code, and the vector of frame expansion coefficients  $\begin{bmatrix} \mathbf{c} \\ \mathbf{d} \end{bmatrix}$  can be seen as the codevector corresponding to the input vector  $\mathbf{x}$ . A parity check matrix for this code can be given as

$$P = [-(I_K - HG) \ H],$$

where  $I_K$  denotes the identity matrix of order  $K$ . It is easy to prove that  $PF = \mathbf{0}_{K \times K}$ . The missing detail coefficients can be recovered using syndrome decoding [7] as follows. Using the property that every codevector lies in the codespace, we get

$$P \begin{bmatrix} \mathbf{c} \\ \mathbf{d} \end{bmatrix} = \mathbf{0}_{K \times 1}. \quad (17)$$

Substituting the expression for  $P$ , we get

$$-(I_K - HG)\mathbf{c} + H\mathbf{d} = \mathbf{0}_{K \times 1} \quad (18)$$

$$\Rightarrow H\mathbf{d} = (I_K - HG)\mathbf{c}. \quad (19)$$

Now, partitioning  $H$  and  $\mathbf{d}$  into the transmitted and discarded parts, we get

$$H_E \mathbf{d}_E + H_R \mathbf{d}_R = (I_K - HG)\mathbf{c} \quad (20)$$

$$\Rightarrow \mathbf{d}_E = H_E^+ (-H_R \mathbf{d}_R + (I_K - HG)\mathbf{c}). \quad (21)$$

Observe that, in order that the above equation be valid,  $H_E$  must have full-column rank. In the case of critical representation, the above expression can be rewritten as

$$\mathbf{d}_E = H_E^{-1} (-H_R \mathbf{d}_R + (I_K - HG)\mathbf{c}). \quad (22)$$

When the filters are either biorthogonal or orthogonal,  $HG = I_K$ , and the above expressions simplify to

$$\mathbf{d}_E = -H_E^+ H_R \mathbf{d}_R \quad (23)$$

$$= -H_E^{-1} H_R \mathbf{d}_R, \quad \text{for critical representation.} \quad (24)$$

Once the missing detail signal coefficients are recovered, the original signal can be reconstructed by adding the detail signal to the interpolated coarse signal, as in the standard reconstruction:

$$\begin{bmatrix} \hat{\mathbf{x}}_R \\ \hat{\mathbf{x}}_E \end{bmatrix} = \begin{bmatrix} G_R & I_R \\ G_E + H_E^+(I_K - HG) & -H_E^+ H_R \end{bmatrix} \begin{bmatrix} \mathbf{c} \\ \mathbf{d}_R \end{bmatrix}, \quad (25)$$

where  $G_E$  denotes the rows of  $G$  having the same indices as the discarded detail coefficients. Like the previous method, since there is no quantization noise, the reconstruction of the original signal is perfect. Observe that, when the filters are either biorthogonal or orthogonal, the parity check matrix  $P$  given earlier is  $[\mathbf{0}_{K \times K} \ H]$ . In this case, Eqn. 19 is the same as the Eqn. 10 mentioned in section 3.

Now consider the realistic case when the LP coefficients are quantized. In this case, following the frame-theoretic reconstruction, the original signal can be estimated by substituting the quantized values of the coarse signal and the transmitted detail signal coefficients in Eqn.13 or in Eqn.14 (for critical representation). Or, following the coding-theoretic reconstruction, first the missing detail signal coefficients can be estimated by substituting the quantized values of the coarse signal and the transmitted detail signal coefficients in Eqn.21 or in Eqn.22 (for critical representation), and then adding the estimated detail signal to the interpolated quantized coarse signal.

To prove that the above two reconstructions are equivalent, we see that

$$\begin{aligned} G_E + H_E^\dagger(I_K - HG) &= G_E + H_E^\dagger(I_K - H_E G_E - H_R G_R) \\ &= H_E^\dagger(I_K - H_R G_R). \end{aligned}$$

Therefore, Eqn. 13 and Eqn. 25 produce identical results. In the following, we use coding-theoretic reconstruction to analyze the reconstruction error.

## 5. RECONSTRUCTION ERROR ANALYSIS

In a practical application setup, the LP coefficients will be quantized before being encoded. Here we will consider only the case where the quantization of the coarse signal is outside the prediction loop. This structure is called the "open-loop prediction" in the literature [3].

Let  $\mathbf{c}_q$  and  $\mathbf{d}_q$  denote the quantized coarse signal and the quantized detail signal with the standard reconstruction. Let  $\mathbf{d}_{Rq}$  denote the transmitted detail signal coefficients. With the usual method and the dual frame based method of Do and Vetterli, the decoder receives all the quantized LP coefficients and reconstructs the original signal using Eqn.4 and Eqn.9. The resulting reconstruction errors can be expressed as

$$\mathbf{e}_s = G\mathbf{c}_c + \mathbf{q}_d, \quad \text{and} \quad (26)$$

$$\mathbf{e}_f = G\mathbf{c}_c + (I_N - GH)\mathbf{q}_d, \quad (27)$$

where  $\mathbf{q}_c$ ,  $\mathbf{q}_d$  denote the quantization noise vectors for the coarse signal and the detail signal respectively. Here, for the sake of simplicity of analysis, we will assume that the coarse and the detail signals are scalar quantized. The quantization step sizes are small enough so that the corresponding quantization noises can be assumed to be white and uncorrelated. Furthermore, because of the open-loop structure, the quantization noises of the coarse and the detail signals can be assumed to be uncorrelated as well. The respective mean square errors can be computed as follows:

$$\begin{aligned} MSE_s &= \frac{1}{N} \mathbb{E} \|\mathbf{e}_s\|^2 = \frac{1}{N} \mathbb{E} (G\mathbf{q}_c + \mathbf{q}_d)^t (G\mathbf{q}_c + \mathbf{q}_d) \\ &= \frac{1}{N} \sigma_c^2 \text{tr}(G^t G) + \sigma_d^2; \end{aligned} \quad (28)$$

$$\begin{aligned} MSE_f &= \frac{1}{N} \mathbb{E} \|\mathbf{e}_f\|^2 = \frac{1}{N} \sigma_c^2 \text{tr}(G^t G) \\ &\quad + \frac{1}{N} \sigma_d^2 \text{tr}((I_N - GH)^t (I_N - GH)), \end{aligned} \quad (29)$$

where  $\sigma_c^2$  and  $\sigma_d^2$  denote the quantization noise variances for the coarse signal and the detail signal respectively,  $\mathbb{E}$  denotes the mathematical expectation, and  $\text{tr}(\cdot)$  denotes the trace of a matrix. In the special case when the filters are orthogonal, the above expressions can be simplified as

$$MSE_s = \frac{K}{N} \sigma_c^2 + \sigma_d^2, \quad \text{and} \quad (30)$$

$$MSE_f = \frac{K}{N} \sigma_c^2 + (1 - \frac{K}{N}) \sigma_d^2. \quad (31)$$

With the proposed method, the decoder receives the quantized coarse signal  $\mathbf{c}_q$  and the decimated detail signal  $\mathbf{d}_{Rq}$ . For the worst case scenario, it receives the critically decimated detail signal. Following the coding theoretic approach, it reconstructs the original signal as shown in Eqn. 25. The resulting reconstruction error for the critical case can be expressed as

$$\mathbf{e}_p = \begin{bmatrix} G_R & I_R \\ G_E + H_E^{-1}(I_K - HG) & -H_E^{-1}H_R \end{bmatrix} \begin{bmatrix} \mathbf{q}_c \\ \mathbf{q}_{dR} \end{bmatrix}, \quad (32)$$

where  $\mathbf{q}_{dR}$  denotes the quantization noise vector for the decimated detail signal. Therefore the mean square error can be derived as

$$\begin{aligned} MSE_p &= \frac{1}{N} \mathbb{E} \|\mathbf{e}_p\|^2 \\ &= \frac{1}{N} \sigma_c^2 \text{tr}(G^t G) + \frac{2}{N} \sigma_c^2 \text{tr}(G_E^t H_E^{-1} (I_K - HG)) \\ &\quad + \frac{1}{N} \sigma_c^2 \text{tr}((I_K - HG)^t (H_E H_E^t)^{-1} (I_K - HG)) \\ &\quad + (1 - \frac{K}{N}) \sigma_d^2 + \frac{1}{N} \sigma_d^2 \text{tr}(H_R^t (H_E H_E^t)^{-1} H_R). \end{aligned} \quad (33)$$

In the special case when the filters are orthogonal, the above expression can be simplified as

$$\begin{aligned} MSE_p &= \frac{K}{N} \sigma_c^2 + (1 - \frac{K}{N}) \sigma_d^2 \\ &\quad + \frac{1}{N} \sigma_d^2 \text{tr}(H_R^t (H_E H_E^t)^{-1} H_R). \end{aligned} \quad (34)$$

Comparing the above expressions, we observe that the mean square error of the reconstructed signal is larger than that obtained with the dual-frame based reconstruction. This is expected since we intend to trade MSE for the bit rate. We also observe that the mean square error is a function of the filter coefficients. Therefore, the reconstruction error can be kept low by choosing the filters properly.

## 6. SIMULATION RESULTS

In order to test the proposed algorithm, we performed simulations over various standard images. To keep the computational complexity of the matrix operations low, we built LPs over blocks of size 16 and performed two levels of decomposition with downsampling factor 2. We used the Daubechies 9/7 wavelet filters for the lowpass filtering and interpolation even if the use of wavelet filters is not a necessity here. For all the simulations, the encoding of the coarse signal was performed with a JPEG-like algorithm with quality factor 50 whereas the detail images were scalar quantized and entropy coded. For the proposed method, we critically decimated the two detail signal levels by discarding the top-left coefficient in every  $2 \times 2$  block. Fig. 4 shows the peak signal-to-noise ratio (PSNR) vs bits per pixel (bpp) for the "Barbara" image for all the three methods. The plots were obtained by varying the quantization step-sizes from 1 to 16 and finding the convex-hull of the resulting PSNR-rate pairs. The better performance of Do and Vetterli's reconstruction over the standard reconstruction is because of the use of biorthogonal filters and is already known [3]. We observe that the proposed approach can lead to higher compression performance at the same PSNR, or can lead to higher PSNR at the same bit rate by choosing proper quantization step sizes.

Fig. 5 shows the reconstructed images when the two detail layers are quantized with step sizes 16 and 7 respectively. We observe that the proposed method (bottom-left) requires lesser bpp but decreases the PSNR slightly compared to the other two reconstruction schemes. At the bottom-right, the proposed reconstruction at the same bpp (obtained with quantization step sizes 11 and 5) has better quality than the other two.

We also performed simulations over various other standard images. We observed that the PSNR vs rate plots for these images have

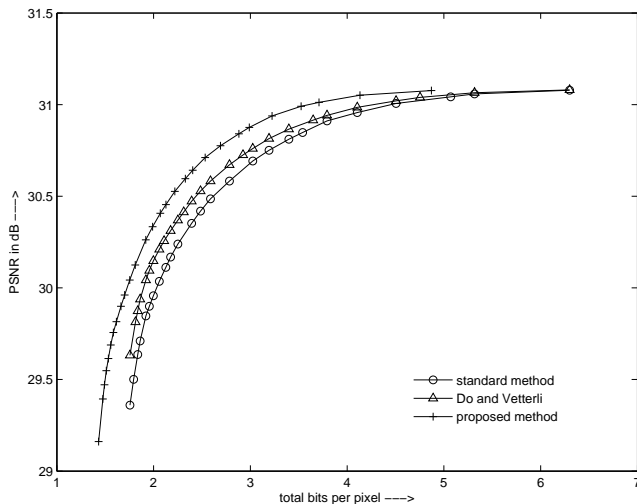


Figure 4: PSNR vs rate for "Barbara" represented using 2 levels of LP with 9/7 biorthogonal filters.

image source	bpp	PSNR		
		standard	Do and Vetterli	proposed
Barbara	1.99	29.96	30.15	30.33
Lena	2.3	30.01	30.06	30.12
Boat	1.35	28.25	28.44	28.54
Baboon	2.65	26.46	26.64	26.91
Peppers	2.82	30.36	30.41	30.48
Sailboat	2.33	28.27	28.32	28.39
Goldhill	2.17	29.90	30.00	30.10

Table 1: Bit rate and PSNR results for various standard images represented using 2 levels of Laplacian pyramid with 9/7 biorthogonal filters.

similar characteristics as of the ones for "Barbara" image. In general, the gain in compression efficiency is higher when the image contains significant detail components. Table 6 shows the PSNR of the reconstructed images for the three reconstruction methods at the same bits per pixel. We observe that the proposed algorithm results in higher PSNR values than the other two algorithms.

## 7. CONCLUSION

In this paper, we have reexamined the Laplacian pyramid from a frame representation point of view. This representation had been studied earlier by Do and Vetterli [3], who had proposed an improved reconstruction structure based on the dual frame. Here, on the other hand, we have proposed varying the redundancy of the LP through decimation of the detail signals. The decimation factor could be increased up to the critical representation.

For the decimated LP, we have presented two reconstruction algorithms. These algorithms were borrowed from the frame theory and the coding theory literature and were adapted to the LP representation. The reconstruction algorithm based on the frame theory aimed at estimating the original signal directly from the received coarse signal and the decimated detail signals through a dual sub-frame operator. The reconstruction algorithm based on syndrome decoding, however, aimed at recovering the decimated detail signals completely and then estimating the original signal by the usual reconstruction procedure. The two reconstruction methods were shown to produce identical output results.

Using a simple scalar quantization noise model, we have analyzed the mean square reconstruction error with the proposed



Figure 5: "Barbara" reconstructed from 2 levels of LP with 9/7 biorthogonal filters. top: (left) standard reconstruction (1.99 bpp, 29.96 dB), (right) frame-based reconstruction (1.99 bpp, 30.15 dB); bottom: (left) proposed method (1.62 bpp, 29.82 dB), (right) proposed method (1.99 bpp, 30.33 dB).

method and compared it to the errors obtained with the usual reconstruction and the dual frame based reconstruction. The analytical mean square reconstruction error was observed to depend on the decimation and the interpolation filters, and on the decimation pattern of the detail signals. The simulation results suggest that, using proper quantization parameters, it is possible to have better R-D performance over the standard reconstruction and the dual frame based reconstruction, where all the detail signal coefficients are transmitted.

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